
Leveraging the Analog Domain for Security

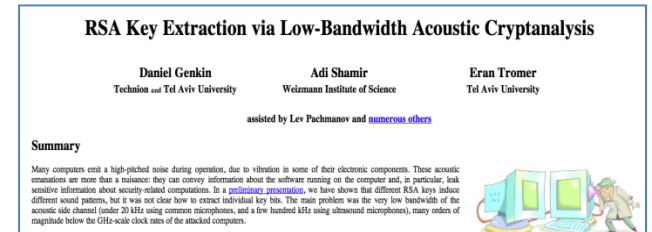
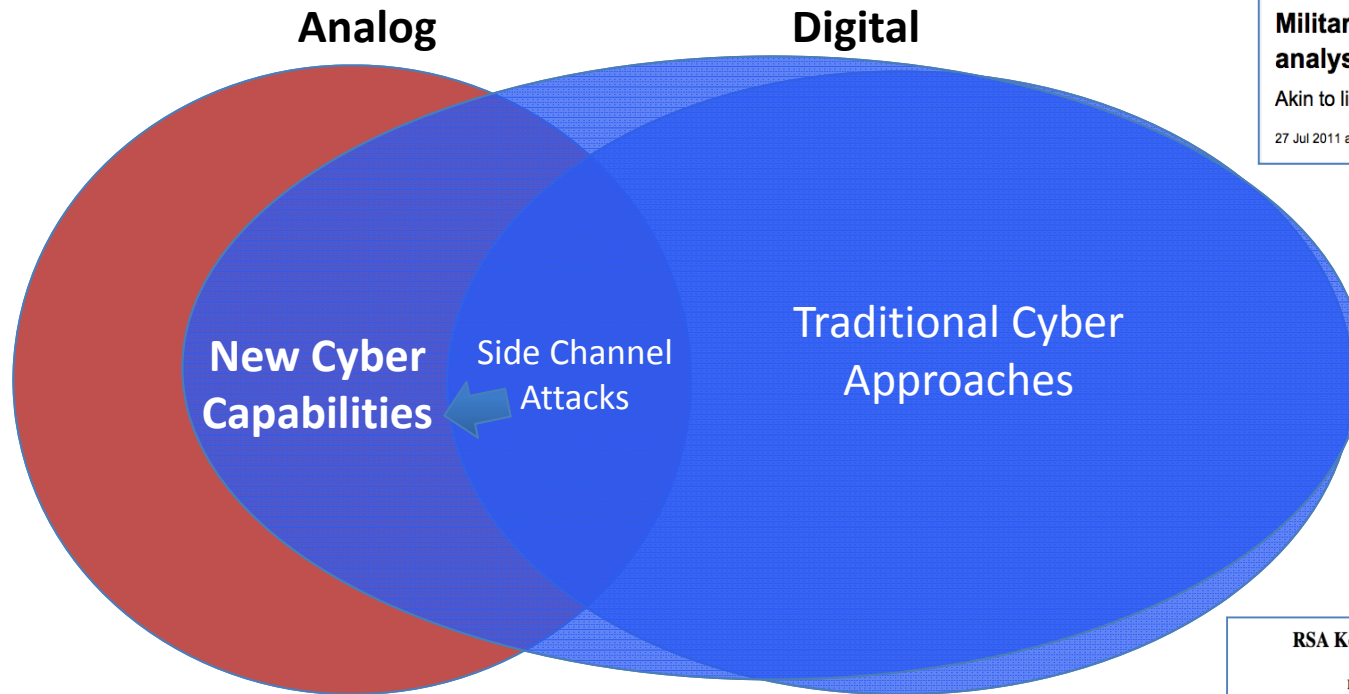
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October 1, 2015





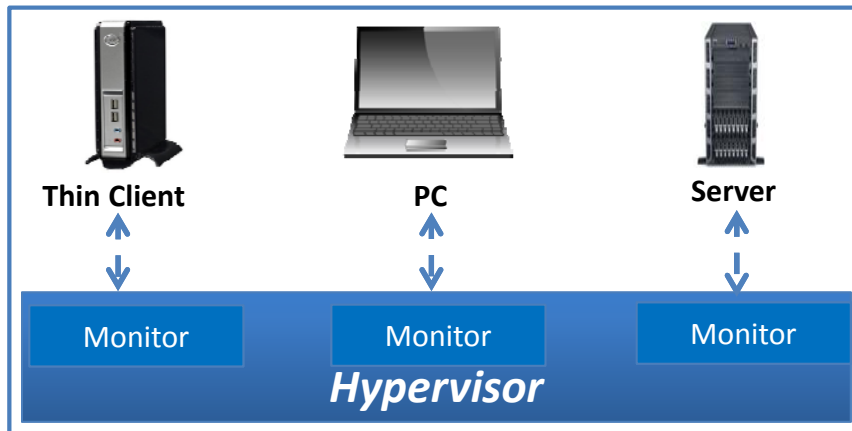
Unexplored Opportunities for Cybersecurity at the Intersection of Analog and Digital



- Analog and digital are generally viewed as distinct areas in cybersecurity
 - Ignoring the analog side simplifies an already hard problem
 - We can usually afford to rely only on digital techniques (i.e., more code/logic)

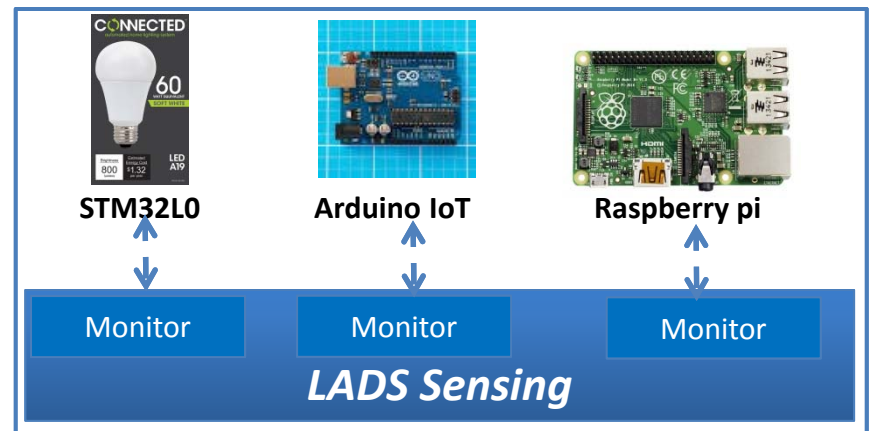


Using Analog to Protect Low-Resource Devices



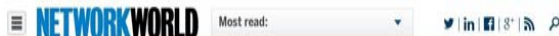
Traditional IT:

- Resource-rich environment with numerous existing and new capabilities for cyber defense
- Defenses do not readily translate to low-resource environments



IoT and Embedded:

- Resource, logistic, and physical constraints make it difficult to embed security functionality
- Attack surface is large and easy to exploit
- Single penetration leads to total compromise



Researchers exploit ZigBee security flaws that compromise security of smart homes



Network World | Aug 11, 2015 10:54 AM PT



Security

Compromised Cisco routers spotted bimbbling about in the wild

Diseased boxen lassoed in four countries as malicious actors find their way into systems

SECURELIST

Equation: The Death Star of Malware Galaxy

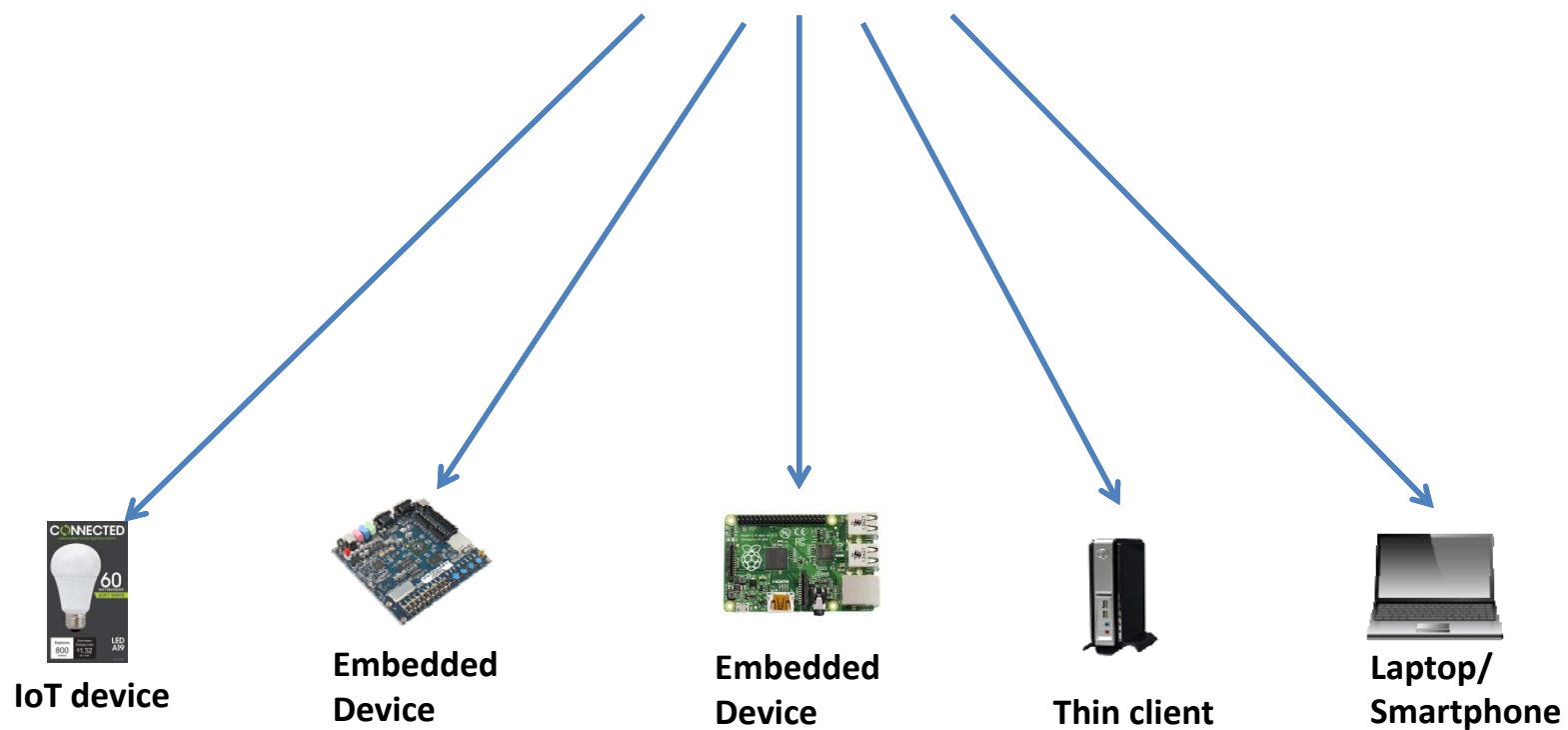
By GREAT on February 16, 2015. 6:55 pm

Use the analog domain to enable new classes of defense in low-resource and embedded devices (e.g., IoT)



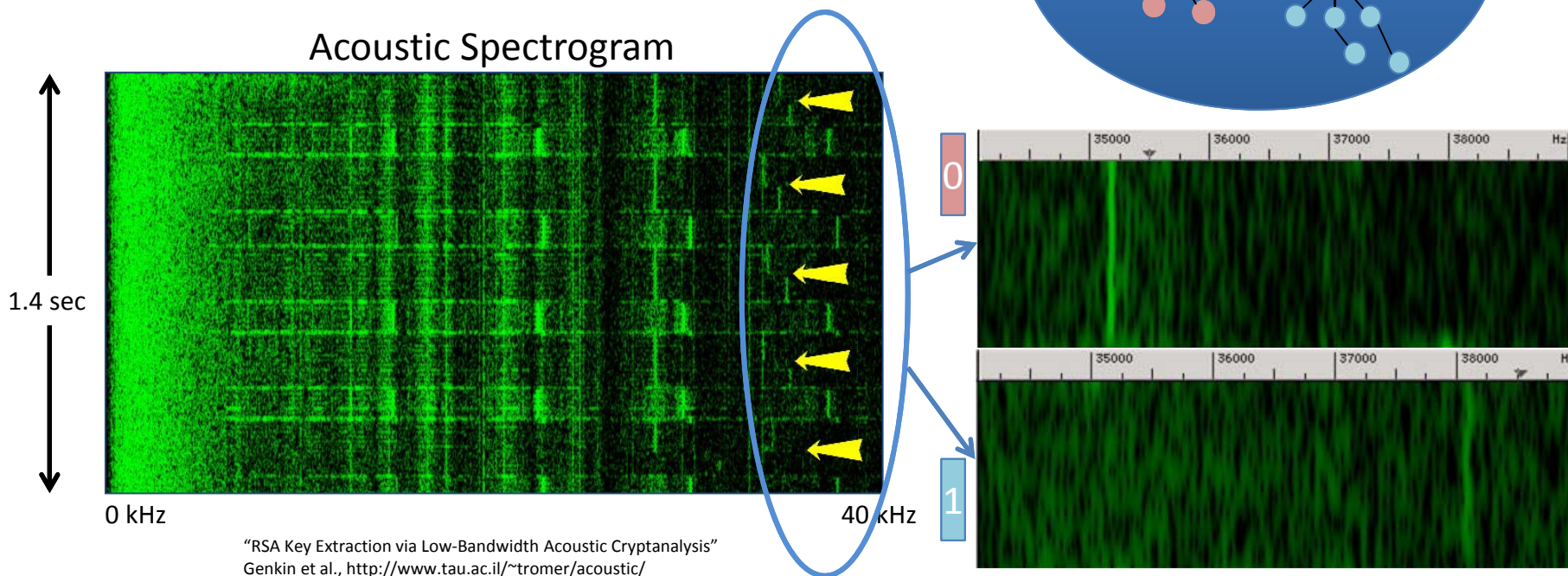
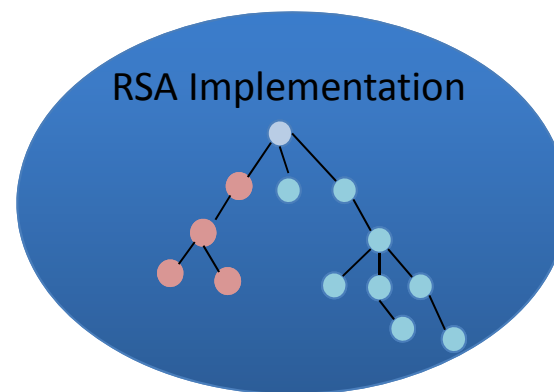
LADS Program Structure

TA1: Protecting Embedded and Mission-Specific Devices (EMSDs) via Analog Sensing





Example: Extract Cryptographic Keys by Tracking Code Execution Acoustically



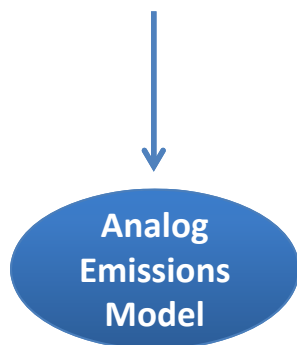
"RSA Key Extraction via Low-Bandwidth Acoustic Cryptanalysis"
Genkin et al., <http://www.tau.ac.il/~tromer/acoustic/>



LADS: Protecting EMSDs via Analog Sensing

Low-Resource Digital Device

Hardware	Firmware
Configuration	Data



Emissions (e.g., EM)



Monitor
Device



Indicate deviations
from normal behavior

- Explore different emission modalities
 - e.g., EM, acoustic, power
- Combine multiple modalities
- Many-to-one, many-to-many tracking



LADS Program Structure

TA1: Protecting Embedded and Mission-Specific Devices (EMSDs) via Analog Sensing

- **Goal:** Develop new cyber techniques in digital devices by monitoring the analog emissions across different/multiple modalities:
 - Tracking fidelity vs. device complexity
 - Fidelity: Known/unknown code, control flow tracking, instruction tracking, ...
- **Output:** Monitoring devices; network architectures; algorithms for mapping digital artifacts to analog emissions
- **Methodology:**
 - Identify and quantify useful analog signals
 - Develop predictive models
 - Map device firmware, configuration, and data to cyber-relevant analog emissions model
 - Unknown firmware & configuration
 - Boost signal via software and/or analog component modifications
 - Reconcile tracked device emissions with emissions model
 - Cooperative sensing and tracking

Parameters/Challenges:

- Distance
- Polarization
- Multipath
- Ambient Noise



TA1 Program Metrics

- Measure effectiveness as a ROC curve (detection vs. misdetection) on devices of increasing complexity
 - Fidelity: Known/unknown code, control flow tracking, instruction tracking, others
 - Secondary characteristics depending on modality, e.g., distance, polarization
- Phase 1 Program Metrics:
 - Demonstrate feasibility of discriminating between known/unknown code executing on a simple IoT-type device
 - **80% accuracy** or higher, assuming knowledge of the firmware
 - Close proximity (**signal level of 3dBi or less at 1 foot**), in an environment with low ambient noise (Demonstration at Month 18)
 - Demonstrate the impact of modifying the software executing on the device to boost detection of software/firmware compromise
- Phase 2 Program Metrics:
 - Demonstrate the ability to correctly identify with **80% accuracy, at close proximity (1 foot)**, from among several instances of known code/unknown code, **while improving accuracy (90%) and distance (3 feet or more) for the simpler devices**
 - FPGA board by M30, thin-client computer or simple "feature phone" cell phone by M36
 - Demonstrate the techniques for devices of increasing complexity
- Phase 3 Program Metrics:
 - Extend the techniques for more complex devices (e.g., a high-end smartphone or laptop) while increasing accuracy, fidelity, and discriminating capability for the devices examined in earlier phases
 - **Improve accuracy to 95% with close proximity to 10 feet** (Demonstration at M48)



Program Schedule and Progress Metrics

Primary Metrics:

- Fidelity
- Distance
- Accuracy

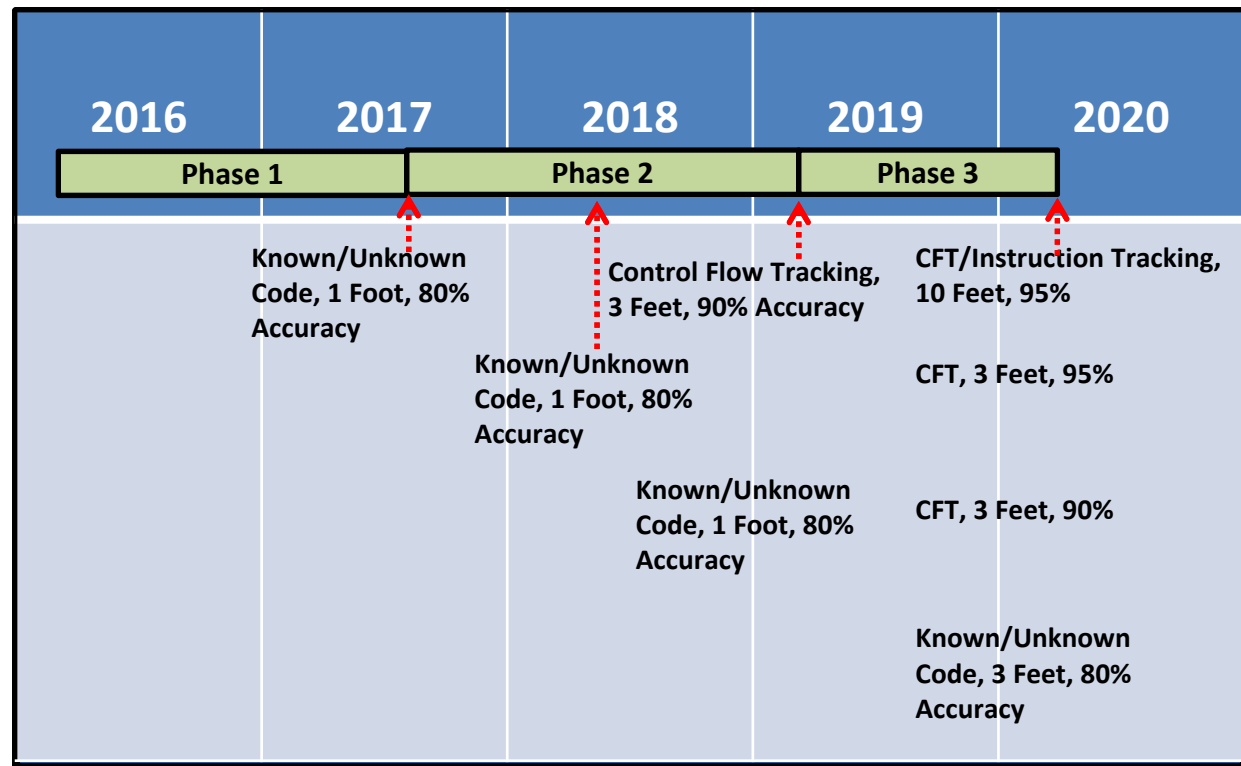
TA1

IoT Device

Embedded Device

Thin Client

Laptop/
Smartphone





Evaluation Details

- Each performer conducts own evaluation for each milestone
 - Provide data and prototypes to DARPA and AFRL to conduct independent validation
 - Government reserves the right to engage third parties to independently validate results
- Each performer responsible for specifying in their proposal which devices they will use, for each of the four device classes
 - Make your choices based on proposed sensing modalities
 - Avoid selection of Government-/DoD-specific equipment
 - Suggestion: Specify groups of devices in each class
 - Government may choose to limit to a subset, or propose substitute devices during contract negotiations



Meetings and Reporting Requirements

- Two Annual Principal Investigator (PI) Meetings
- Quarterly Technical Reviews between PI Meetings
- Monthly Progress Reports
 - Technical Report describing progress, resources expended and issues requiring Government attention, provided 10 days after the end of each month
- Financial/Technical Progress Reporting to the DARPA Technology Financial Information Management System (TFIMS)
- Software Development Plan
- Final Technical Report
- Agent: AFRL/Rymh



Funding and Programmatic Details

- **Proposals due: Tuesday, November 17 at noon ET**
- Government anticipates multiple awards
 - Procurement Contract or Other Transaction
- Proposers to TA1 are not required to hold or obtain security clearances
- Proposers to TA1 do not require access to the LADS Classified Addendum
- Organizations can submit separate proposals to all Technical Areas
 - Which to consider for award is at the discretion of the Government
- To expedite award contracting, proposers are encouraged to have sub-award agreements in place ahead of award notification

Leveraging the Analog Domain for Security (LADS) Program DARPA-BAA-15-61

Mark Jones

DARPA Contracts Management Office

Proposers Day
Arlington, VA
October 1, 2015





DISCLAIMER

**If the BAA contradicts any information in these slides,
the BAA takes precedence.**



BAA OVERVIEW

- BAA follows procedures in accordance with FAR 35.016.
- BAA is posted on FEDBIZOPPS at www.fbo.gov (as well as any future amendments).
- Proposals due by 12:00 noon ET on November 17, 2015
- BAA covers all info needed to submit proposals. Follow instructions for proposal preparation and submittal.



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POTENTIAL AWARD INFORMATION

- One Unclassified Technical Area (TA)
- Anticipate multiple awards – exact award numbers or amounts have not been predetermined
- Program structured in 3 phases – Base and 2 Options
- Awards may be Procurement Contracts or Other Transaction Agreements (OTs). No grants or Cooperative Agreements will be awarded.



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BAA ELIGIBILITY

- All interested/qualified sources may respond subject to the parameters outlined in the BAA.
- Foreign organization/individuals – check all applicable Security Regulations, Export Control Laws, Non-Disclosure Agreements, and any applicable governing statutes.
- FFRDCs and Government entities
 - Subject to applicable direct competition limitations
 - Must clearly demonstrate eligibility per BAA
- Real and/or Perceived Conflicts of Interest
 - Identify any conflict
 - Include mitigation plan
- Classified Portion eligibility addressed in BAA Addendum



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PROPOSAL PREPARATION INFORMATION

- Proposals consist of two volumes – Technical and Cost.
- Volume 1 - Technical and Management
 - Volume 1 has maximum 30 page limit
 - Includes mandatory Appendix A – does not count towards page limit.
 - Includes optional Appendix B – does not count towards page limit
 - Includes optional Appendix C – does count towards page limit
- Volume 2 – Cost - No page limit.
- The BAA will describe the necessary information to address in each volume –
 - Make sure to include every section identified.
 - If a section does not apply – put “None” (e.g., Animal Use – None, OCI - None)
 - Include a working/unprotected spreadsheet as part of your Cost Volume submission.
 - Review individual TA descriptions, IP and the deliverables section for submittal information



PROPOSAL PREPARATION TIPS

- **Statement of Work (SOW)** – Write a SOW as if it were an attachment to a contract
 - Don't use proposal language (e.g. we propose to do . . .)
 - Break out work between any phases/time periods identified in the BAA
 - Succinctly and clearly define tasks & subtasks
 - Do not include any proprietary information!
- **Risk** – Do not be afraid to address Risk in Technical Volume
 - Identify risk(s) to show an understanding of technical challenge(s)
 - Discuss potential mitigation plans / alternative directions



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PROPOSAL PREP – INTELLECTUAL PROPERTY RIGHTS

- Government desires, at a minimum, **Government Purpose Rights** for any proposed noncommercial software and technical data. (SEE DFARS 227 for Patent, Data, and Copyrights)
- Since LADS will emphasize creating and leveraging open architecture technology, IP rights and software licenses asserted by proposers are strongly encouraged to be aligned with this goal.
- Data Rights Assertions – IF asserting **less than Unlimited Rights**:
 - Provide and justify basis of assertions
 - Explain how the Government will be able to reach its program goals (including transition) within the proprietary model offered; and
 - Provide possible nonproprietary alternatives
- IF proposed solution utilizes commercial IP – submit copies of license with proposal



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ITEMS TO NOTE

- Work expected to be fundamental research
- Understand and comply with SAM, E-verify, FAPIIS, i-Edison and WAWF. Links are found in the BAA.
- For planning purposes - anticipated Program Start Date is April 1, 2016
- Subcontracting Issues
 - Non-Small Businesses: Subcontracting Plans required for FAR-based contracts expected to exceed the applicable threshold.
 - Subcontractor cost - Proposals must include, at a minimum, a non-proprietary, subcontractor proposal for EACH subcontractor.
 - If utilizing FFRDC, Government entity, or a foreign-owned firm as a subcontractor, submit their required eligibility information, as applicable.



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ITEMS TO NOTE CONTINUED

- Proposals must be valid for a minimum of 120 days
- If a prospective proposer believes a conflict of interest exists or has a question on what constitutes a conflict - promptly raise the issue with DARPA
- Document files must be in .pdf, .odx, .doc, .docx, .xls, and/or .xlsx formats.
- Submissions must be written in English.



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PROPOSAL SUBMISSION

- TA 1 submissions will be completely UNCLASSIFIED with the exception of Appendix C. Appendix C must be received before the BAA proposal submission dead line.
- Follow submission procedures outlined in the BAA. DO NOT submit proposals except as outlined in the BAA (e.g., email/fax submissions will NOT be accepted).
- Use DARPA's web-based upload system for unclassified portion of proposal. Submission must be in a single zip file not exceeding 50 MB.
- DO NOT include any classified information in the unclassified portion of the proposal or it may be deemed non conforming.
- DO NOT wait until the last minute to submit proposals – the submission deadlines as outlined in the BAA will be strictly enforced



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EVALUATION / AWARD

- No common Statement of Work - Proposal evaluated on individual merit and relevance as it relates to the stated research goals/objectives
- Evaluation Criteria (listed in descending order of importance) are: (a) Overall Scientific and Technical Merit; (b) Potential Contribution and Relevance to the DARPA Mission; and (c) Cost Realism.
- Evaluation done by scientific/technical review process. DARPA SETAs with NDAs may assist in process.
- Government reserves the right to select for award all, some, or none of the proposals received, to award portions of a proposal, and to award with or without discussions.



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COMMUNICATION

- Prior to Receipt of Proposals – No restrictions, however Gov't (PM/PCO) shall not dictate solutions or transfer technology. Unclassified FAQs will be periodically posted to this BAA's DARPA web page.
- After Receipt of Proposals – Prior to Selection: Limited to PCO – typical communication to address proposal clarifications.
- After Selection/Prior to Award: Communications range from technical clarifications/revisions to formal cost negotiations. May involve technical as well as contracting staff.
- Informal feedback for proposals not selected for funding may be provided once the selection(s), if any, are made.

Only a duly authorized Contracting Officer may obligate the Government



TAKE AWAY

- Submit proposals before the due date/time - Do NOT wait until the last minute to submit.
- Read and understand the BAA - Follow the BAA when preparing proposals.
- Be familiar with Government IP terms from the DFARS Part 227.
- Submit working/unprotected spreadsheet(s).
- The Contracting Officer is the only Government official authorized to obligate the Government.